

**MASON ALAN DINEHART III, RFC**  
***Financial Education Network Development (FEND)***  
***www.fend.com***

***BUSINESS SPECIALTY***

**Expert witness/Consultant** in arbitration/litigation since 1991, involving securities, insurance and annuities, nationwide. Testifying in 311 arbitrations (700 retentions) before FINRA/NASD/AAA/NYSE/PSE/ JAMS with 75+ mediations in CA, OR, AK, AZ, HI, TX, IL, KS, KY, LA, MA, MI, MO, NM, NV, OH, NY, GA, TN, UT, WA WV & FL. (No charge for direct/non-stop air flight time). Mr. Dinehart, RFC, has been qualified and has testified as a Securities Industry Expert Witness before Federal and State Courts in CA plus as an Insurance Industry Expert in the State Court of NV and serves as a FINRA Arbitrator. Services are provided for both claimants (65%) and respondents (35%).

***AREAS OF STRENGTH***

Common/preferred stocks, bonds, IPO's, mutual funds, variable/fixed index annuities, life insurance/VUL's, L.P.'s, LLC's, hedge funds, REITS, TIC's, options, REMICS, TINTS, mortgage backed securities & private stock offerings. P&L's and damage calculations provided. Testifying as to suitability, fiduciary duty, due diligence, underwriting & supervision, overreaching, churning, selling away, over-concentration/asset- allocation, modern portfolio theory analysis, stock manipulation, best execution, margin, options, mark-ups, mark-downs, on-line trading, RIA activities, control person liability, "financial suicide", reverse churning, standard-of-care, industry custom and practice plus compliance.

***PROFESSIONAL LICENSES***

**MEMBER, FINRA REGULATION, INC. BOARD OF ARBITRATORS #A30388 - Panel Chairman - NASD # 99-03001-April '00.**  
**Silber Bennett Financial, Inc.-** Series 7, 24, 27\*, 63, 65, 79 & 99, life ins. & variable contracts, real estate\*. \*previously held

***EDUCATION***

California State College at Los Angeles (B/A - Speech & Communication)  
American Institute of Banking School of Finance & Taxation - Graduate - Los Angeles District Chapter

***AFFILIATIONS***

FindLaw.com, Jurispro.com. Pro/Counsel, TASA, C.C.C., Ca-Experts.com , Infofaq.com, Exify.com, YahooB2BLaw, Forensis Group & Securities Experts Roundtable  
Practising Law Institute - Panelist, Marketing Compliance - 1972 - R/E Syndication; [Money Magazine - January, 2006](#)  
Real Estate Review - Published article - Fall - 1972; The Register (IARFC) Summer 2002; The Advisors Network, Winter 2002; Panelist & Speaker-Annual Seminar for Securities Brokers 2000-2003, sponsored by Registered Representative Magazine and On Wall Street magazines; Speaker-Panelist & Article, PIABA Conventions, 10 '03 & 2 '04; KFWB Radio, November, 2003 - International Assn. of Registered Financial Consultants (IARFC), AAIL, Life Member - American Institute of Banking - Pasadena District Chapter (AIB), and Adjunct Faculty Member -Westlaw Round Table Group. 2004 published articles – www.expertlaw.com. – Palos Verdes Tennis Club.

## ***BUSINESS EXPERIENCE***

### *Securities*

Carlsberg Securities Corporation - 1969-1982

- V.P. Marketing - Securities - Insurance - R/E syndication - Branch Manager

Westfield Capital Corporation - 1982-1986

- V.P. Marketing - Securities - R/E syndication - Branch Manager

Boardwalk Capital Corporation - 1987-1990 - Acting Branch Manager

- National Sales Director - Full service financial planning broker/dealer

- Chairman of due diligence committee, licensing and marketing compliance officer for 200 registered representatives.

- **President - Registered Investment Advisor & Director of Recruiting**

Financial Education Network Development

- 1990-2001 - Owner & founder of a financial planning firm providing educational retirement, financial planning seminars for 401-K participants of corporations, nationally. From 1995 to the present, the firm has added an emphasis upon expert witness activities, along with 10 arbitrator assignments since 1999, and 4 awards.

- 1991-1999 - Eric Equities, Inc. - Securities, Annuities & Insurance - Branch Supervisor

- 2000 - 2010 - Empire Securities Corp. - Home Office Supervisor/Director of Advertising Compliance

- 2010 – 7-31-2011 – AISG - Compliance Consultant – Due Diligence

- 8-1-2011 – Present – Silber Bennett Financial, Inc. – Registered Principal – Due Diligence & Director of Advertising Compliance

### *Commercial Banking*

Bank of America - 1959-1965 - AVP - Corporate Finance – National Division

Union Bank - 1965-1969 - VP - Manager of Business Development - So. California.

### *Taxes & Insurance*

L. E. Cooper & Associates 1959-1965 - Tax Accountant

Carlsberg Insurance Agency - 1975-1982 - President