William Luk

Regional Director, Northern California

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Education

Master in Business Administration

USC - Marshall School of Business, Los Angeles, California

Master of Science in Computer Science

University of Southern California, Los Angeles, California

Bachelor of Science in Computer Science

University of Southern California, Los Angeles, California

Skills

Technical: C, C++, Python, JavaScript, PHP, Java, SQL, MySQL, MongoDB, PostgreSQL, HBase, GraphDB, GraphQL, React-Native, TCP/IP, RTOS (VxWorks), Network Security, hardware appliances

Tools: AWS, Ubuntu, iOS, Node, Docker, Git, GitHub, Hadoop, Hive, Jira, IBM RTC, VersionOne

Management: Full software lifecycle, Global engineering teams, Offshore / contract engineering teams, Agile product delivery (engineering & product management), Leadership coaching / development, Executive level communications / presentation, Customer requirements and relationship building

Employment

Quandary Peak Research

Regional Director, Northern California | Hayward, CA | July 2021-Present

- Evaluating the software architecture of various products and assessing overall characteristics, strengths and weaknesses.
- Analyzing source code to opine on various software characteristics such as scalability, interoperability, security, and extensibility.
- Using a suite of tools to programmatically detect the presence of open-source software and potential exposure to problematic open-source licenses and security vulnerabilities.
- Interfacing with private equity, VC and other clients as well as executives/technical team from target companies.
- Documenting and conveying technical findings to clients in the form of a formal report and/or executive briefing.

Data Lantern Inc

Founder | Newark, California | Feb 2014–Dec 2020

- Built a secure data ecosystem for Internet of Things (IoT) to solve data security / privacy, smart device interoperability, and monetization / adoption issues.
- Developed a PoC backend to secure smart device data with REST API's.
- Led an off-shore team to develop a React-Native based data gathering app for Apple iOS and Android based devices (available on both Apple and Google App Stores).
- Pitched the company's ideas, business model, and execution strategies to investors and partners.

PeakEquity Partners

Consultant | Radnor, Pennsylvania | Sept 2015–Dec 2017

- Performed technical due diligence of Security, SaaS, and Enterprise Content Management (ECM) companies for possible investment or acquisition.
- Reviewed target company's product architecture, product security, deployment strategies, and support infrastructure for technical and security risk and exposure.
- Compared target company's technology stacks and tools against the latest industry standards.
- Identified and recommended solutions to investment's potential technical, security, development, quality, deployment, and operational (on-premise / SaaS) issues.

Sideband Networks

Advisor / Product Management | San Jose, California | Feb 2014–Jan 2016

- Refocused company's strategy from network management to behavior-based security and risk monitoring using extremely large data analytics.
- Authored a high-level PRD of a network anomalies based security appliance for enterprises.
- Evaluated the engineering process and established an Agile development process (Jira) for both engineering and product management teams.

Prevalent Inc

Consultant / Product Management | Warren, New Jersey | June 2014-Aug 2015

- Defined the product requirements and workflows of the Vendor Risk Management (VRM) SaaS offering.
- Monitor the off-shore development team progress and execution velocity.
- Evaluated micro-service architecture of the SaaS backend for scalability.

CA Technologies Inc

Senior Director, Software Development | Santa Clara, California | Nov 2010-Feb 2014

- Led the ERwin data modeling product development to support extremely large enterprise databases for Fortune 100 companies.
- Managed the CA APM development team to develop the first SaaS solution.
- Partnered with CA Ops, SaaS Platform team, Product Management, Sales, and 3rd Party Security Auditors to deliver the APM SaaS solutions on AWS.

- Represented CA to work with key enterprise customers and world-wide user groups to present roadmaps, and address and resolve product issues and concerns.
- Presented and updated CA senior executives quarterly on product development, key support issues, and customer satisfaction (NPS).

Yousendit / HighTail Inc

Senior Director, Advanced Products | Campbell, California | Apr 2010-Nov 2010

- Formulated product strategies and roadmaps to extend freemium SaaS offering.
- Expanded business into China by partnering with CDN (ChinaCache) in China.
- Shifted focus from email file exchange to cloud storage and mobile computing platform

Symantec Corporation

Director, Development | Mountain View, California | May 2003 – June 2009

- Led the development of Symantec Network Security (IDS/IPS) and Database Security products for very large enterprises.
- Mentored and developed leaderships in coding, quality engineering, and product management.
- Managed global engineering teams in the US, Pune India, and Bangalore India.

CoSine Communications

Software Manager | Redwood City, California | Feb 1999–May 2003

- Architected, designed, and managed the development of the stateful firewall, H.323, and SIP gateway for the carrier class IPSX network switch with virtualized security services.
- Developed a security architecture for the embedded RTOS inside a network switch.
- Deployed a secured H.323 based video conference solution in the AT&T network.

Union Bank of California (UBOC)

Network Security Architect | Monterey Park, California | Jan 1998-Feb 1999

- Architected the security perimeters for UBOC's two primary data centers.
- Evaluated and implemented encryption of wire transfer processing within the UBOC network.
- Represented Information Security to partner with different UBOC business units to identify potential security and risk exposures of new initiatives.
- Partnered with UBOC auditors to conduct audits of employee's remote access.
- Designed the UBOC's security policies and incident response procedures to comply with Federal banking regulations.

Trusted Information Systems (TIS)

Senior Software Engineer / Consultant | Los Angeles, California | May 1995 – Jan 1998

- Designed and developed the Oracle SQL*Net, Microsoft SQL Server, SNMP, and Line Printer application proxies for the Gauntlet Firewall, the first commercial Internet firewall.
- Consulted Republic National Bank of New York, American Express, Monsanto, Hughes Aircraft, and US Navy on network and perimeter security.

Litigation Consulting

 Alyssa West v. Apple, Inc | Aug 2024-Present Jurisdiction: State of CA, County of Santa Clara

Case Number: 22-cv-408209

Counsel: Migliaccio & Rathod LLP, Bursor & Fisher, P.A.

Nature of Suit: Class Action

Omnitracs, LLC, XRS Corporation, and SmartDrive Systems, Inc

v. Motive Technologies, Inc (F/K/A) Keeptruckin, Inc | July 2024-Present

Jurisdiction: US District Court, Northern District of CA San Francisco Division

Case Number: 3:23-cv-05261 Counsel: King & Spalding LLP Nature of Suit: Patent

• Universal Music Group v. Frontier Communications | July 2024-Present

Jurisdiction: US Bankruptcy Court Southern District of New York

Case Number: 20-22476 (MG) Counsel: Day Pitney LLP Nature of Suit: Bankruptcy

Marcos & Jorge Luis Rodriguez v. T-Mobile USA, Inc | July 2024-Present

Jurisdiction: US District Court, Southern District of California

Case Number: 3:22-cv-00581 Counsel: Sharif Faust Lawyers, Ltd.

Nature of Suit: Cybersecurity, Standard of Care Breach

• USA v. Hytera Communications Corporation, Ltd et al. | Apr 2024-Present

Jurisdiction: US District Court, Northern District of Illinois

Case Number: 1:20-cr-00688

Counsel: U.S. Attorney's Office Northern District of Illinois

Nature of Suit: Criminal, Trade Secret

• Anduril Industries, Inc v. Salient Motion Inc | Apr 2024-Present

Jurisdiction: US District Court, Central District of California

Case Number: 8:23-cv-01650 Counsel: McKool Smith, P.C. Nature of Suit: Trade Secret

• InQuisient Inc v. ServiceNow, Inc | Mar 2024-Nov 2024

Jurisdiction: US District Court for the District of Delaware

Case Number: 1:22-cv-00900 Counsel: Fish & Richardson P.C.

Nature of Suit: Patent

• Robotic Vision Technologies Inc v. ABB Inc | Feb 2024-Mar 2025

Jurisdiction: Delaware District Court

Case Number: 1:22-cv-01257

Counsel: Sterne Kessler Goldstein & Fox PLLC

Nature of Suit: Patent, Trade Secret and Copyright Infringement

Technology: Robotic computer vision, gripper targeting, feature selection and identification

• Polaris PowerLED Technologies, LLC v. Samsung Electronics America | Sept 2023-Dec 2024

Jurisdiction: Eastern District of Texas, Marshal Division

Case Number 2:22-cv-353-JRG

Counsel: Kramer Alberti Lim & Tonkovich LLP

Nature of Suit: Patent

• Security First Innovations, LLC vs Google LLC | Sept 2023-Present

Jurisdiction: US District Court Eastern District of Virginia Alexandria Division

Case Number 2-23-cv-00097-EWH-RJK Counsel: Sullivan & Cromwell LLP

Nature of Suit: Patent

• Donna Wood, et al. v. Mike Bloomberg 2020, Inc. | Sept 2023-Present

Jurisdiction: US District Court Southern District of New York

Case Number 20-cv-02489-LTS-GWG

Counsel: Proskauer Rose LLP

Nature of Suit: Class Action, Political

· Nantworks, LLC, and Nant Holdings IP, LLC v. Niantic, Inc. | Aug 2023-Present

Jurisdiction: US District Court Northern District of California

Case Number 3:20-cv-06262

Counsel: Sullivan Blackburn Pratt LLP

Nature of Suit: Patent

• K+S Potash Canada General Partnership v. Veolia Water Technologies Inc | Mar 2023-Present

Jurisdiction: Court Of Queen's Bench For Saskatchewan

Case Number QB No. 817 (filed 2018)

Counsel: Stikeman Elliott LLP Nature of Suit: Breach of Contract

Technology: Plant Design Management Systems (PDMS), Clash Detection, Database synchronization

Role: Software Architecture and Product Reviewer

• Wildseed Mobile v. Google LLC | Jan 2023-July 2024

Jurisdiction: US District Court Western District of Texas Waco Division

Case Number: 6:22-cv-00357

Counsel: Feinberg Day Kramer Alberti Lim Tonkovich & Belloli LLP

Nature of Suit: Patent Infringement

<u>Taasera Licensing</u>, <u>LLC</u> v. Trend Micro,

Check Point Software, Palo Alto Networks | Jan 2023-Dec 2023

Jurisdiction: US District Court Eastern District of Texas Marshall Division

Case Number: 2:22-cv-00062-JRG-RSP

Counsel: Fabricant LLP Nature of Suit: Patent

• GoTV Streaming LLC v. Netflix | Jan 2023-Present

Jurisdiction: US District Court Central District of California Western Division

Case Number: 2:22-cv-07556 Counsel: Alavi & Anaipakos, PLLC

Nature of Suit: Patent

• Sunstone v. <u>F5</u> | Sept 2022-Apr 2023

Jurisdiction: US District Court Northern District of California Oakland Division

Case Number: 4:21-cv-09529-YGR Counsel: King & Spalding LLP

Nature of Suit: Patent

· Carrolls v. Neels | July 2022-Mar 2024

Jurisdiction: Superior Court Maricopa County, Arizona

Case Number: CV2021-008343

Counsel: Guidant Law Firm, Tempe Arizona

Nature of Suit: Misrepresentation & Non-disclosure

· Broadband iTV, Inc. v. Comcast Corporation; Comcast Cable Communications, LLC;

NBCUniversal Media, LLC | Apr 2022–Sept 2022

Jurisdiction: International Trade Commission

Case Number: 337-TA-1315

Counsel: Kramer Day Alberti Lim Tonkovich & Belloli

Nature of Suit: Patent

Broadband iTV, Inc. v. Charter Communications, Inc;

Charter Communications Holding Company, LLC | Apr 2022–Sept 2022

Jurisdiction: International Trade Commission

Case Number: 337-TA-1315

Counsel: Kramer Day Alberti Lim Tonkovich & Belloli

Nature of Suit: Patent

Broadband iTV, Inc. v. Spectrum Management Holding Company, LLC| Apr 2022 – Sept 2022

Jurisdiction: International Trade Commission

Case Number: 337-TA-1315

Counsel: Kramer Day Alberti Lim Tonkovich & Belloli

Nature of Suit: Patent

• Broadband iTV, Inc. v. Altice USA, Inc; CSC Holdings, LLC; Cablevision Systems Corp. | Apr 2022 – Sept 2022

Jurisdiction: International Trade Commission

Case Number: 337-TA-1315

Counsel: Kramer Day Alberti Lim Tonkovich & Belloli

Nature of Suit: Patent

· Via Transportation v. RideCo Inc | June 2022-Present

Jurisdiction: Western District of Texas

Case Number: 6:21-cv-00457

Counsel: Quinn Emanuel Urquhart & Sullivan LLP, Wilson Sonsini Goodrich & Rosati

Nature of Suit: Patent

• Cryptocurrency Pre-Litigation Investigation (Confidential Defendant) | May 2022

Jurisdiction: Federal (DoJ) Counsel: Todd & Weld LLP

Nature of Suit: Cryptocurrency, Blockchain, Smart-Contracts

• ReactX v. Google | Apr 2022-Present

Jurisdiction: Central District of Los Angeles

Case Number: 18STCV09674

Counsel: Cohen Milstein Sellers & Toll PLLC

Nature of Suit: Trade Secret

• Express Mobile v. Microsoft | Sept 2021-Present

Jurisdiction: Northern District of California

Case Number: 3:20-cv-06152

Counsel: Feinberg Day Kramer Alberti Lim Tonkovich & Belloli LLP

Nature of Suit: Patent

• Centripetal v. Palo Alto Networks | Aug 2021-Present

Jurisdiction: Eastern District of Virginia
Case Number: 2:21-cv-00137-AWA-RJK
Counsel: Kramer Levin Naftalis & Frankel LLP

Nature of Suit: Patent

Technical Due Diligence & Audits

• **Genpact** | M&A | May 2023

Technology: AI/ML, Predictive Analysis

• Lionard PTE Ltd, Singapore | Startup M&A | April 2022

Technologies: Blockchain, Content

• Tenex Capital Management | Startup M&A | Mar 2022

Technologies: B2B2C, Employee Wellness

• Setana Energy Group | Startup M&A | Dec 2021

Technologies: On-line shopping

Schneider Electric | Startup M&A | Dec 2021

Technologies: SaaS, Electric Vehicle

• Schneider Electric | Startup Investment | Nov 2021

Technologies: SaaS, Energy

• Schneider Electric | Startup M&A | Nov 2021

Technologies: Lead Generation, Energy

• Tenex Capital Management | Startup M&A | Nov 2021

Technologies: Lead Generation, Solar

Publications

William Luk | 2015
 Internet of Things / Internet of Sensor Data

William Luk | CA ERWorld 2012 | 2012
 A Unified Data Modeler in the World of Big Data

William Luk | YouSendlt, Inc | 2010
 Data Migration and Collaboration in the Cloud

Certifications

· Scrum Alliance

Certified Scrum Product Owner (CSPO) Certified Scrum Master (CSM)